Docket No.

240602US0DIV

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Nozomu TAMOTO, et al.

SERIAL NO: New Application

GAU:

FILED:

Herewith

**EXAMINER:** 

FOR:

ELECTROPHOTOGRAPHIC PHOTORECEPTOR, AND IMAGE FORMING

METHOD AND APPARATUS USING THE PHOTORECEPTOR

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached European Search Report and cited in prior application serial number 09/985,347 and listed on form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

#### **RELATED CASES**

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

#### **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

# **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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NÉUSTADT, P.C. MATER-8

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Registration No. 24,618

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22850

Thomas W. Barnes III, Ph.D. Registration No. 52,595

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. SERIAL NO.					
			240602US0DIV		New Application			
				APPLICANT				
LIST OF REFERENCES CITED BY APPLICANT			PLICANT	Nozomu TAMOTO, et al.				
				FILING DATE		GROUP		
				Herewith				
				U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS		LING DATE PPROPRIATE
	AA	3,736,134	5/29/73	D.W. GOSSELINK, et al.				
	AB	4,820,620	4/11/89	D.J. CAROLLA				
,	AC	6,030,733	2/29/00	KAMI, et al.	-			
	AD	6,136,483	10/24/00	SUZUKI, et al.				
	AE	6,026,262	2/15/00	KINOSHITA, et al.				
	AF	6,093,784	7/25/00	TAMURA, et al.		,		
	AG	5,747,204	5/5/98	ANZAI, et al.				
•	АН	6,027,846	2/22/00	SHIMADA, et al.	•			
•	ΑI	5,840,454	11/24/98	NAGAI, et al.				
	AJ	5,723,243	3/3/98	SASAKI, et al.				,
	AK	5,942,363	8/24/99	TANAKA, et al.		,		
	AL	5,789,128	8/4/98	ADACHI, et al.				
	АМ	5,846,680	12/8/98	ADACHI, et al.				
·	AN	6,030,736	2/29/00	IKEGAMI, et al.		Ì		
		,	FO	REIGN PATENT DOCUMENTS	,			
	,	DOCUMENT NUMBER	DATE	COUNTRY TRANSLATION YES NO			LATION NO	
	AO	4-295855	10/20/92	JAPAN w/English Abstract	<del></del>		·	
	AP	8-292585	11/5/96	JAPAN				
	AQ	9-281718	10/31/97	JAPAN w/English Abstract				
	AR	2000-66434	3/3/00	JAPAN w/English Abstract				
	AS	43-16198	7/8/68	JAPAN w/Partial English Translation				
	AT	44-834	1/16/69	JAPAN w/Partial English Translation				
	AU	49-10258	3/9/74	JAPAN w/Partial English Translation				· · · · ·
	AV	53-13344	11/31/78	JAPAN w/English Abstract				
	AW	04240656A	8/92	JAPAN				
	AX	2000352832A	12/00	JAPAN				
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)								
Derwent Abstracts, AN 1992-336267, JP 04-240656, August 27, 1992.  AY								
	AZ		Additional References sheet(s) attached					
Examiner					Date Cor	Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

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				U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AAA	6,045,959	4/4/00	KATAYAMA, et al.				
	AAB	6,066,428	5/23/00	KATAYAMA, et al.				
	AAC	5,976,746	11/2/99	TAMAKA, et al.				
	AAD	6,468,706	10/22/02	MATSUDA, et al.				
	AAE	6,406,826	6/18/02	SUZUKI, et al.				
	AAF	6,322,940	11/27/01	NISHIGAKI, et al.				
	AAG	5,028,502	7/2/91	YUH, et al.				
	AAH	5,100,453	3/31/92	RICHARDS				
	AAI	6,249,304	6/19/01	SAWAYAMA, et al.				
	AAJ	5,834,145	11/10/98	YOSHINAGA, et al.				
	AAK	6,366,751	4/2/02	SHAKUTO, et al.	,			
	AAL	5,999,773	12/7/99	YASUTOMI, et al.				
	AAM	5,928,828	7/27/99	SUZUKI				
	AAN	5,910,561	6/8/99	ADACHI, et al.	-			
			FO	REIGN PATENT DOCUMENTS		-		
DOCUMENT DATE				Γ	<del>,</del>		TRANSLATION	
		NUMBER	DATE	COUNTRY		YE:		
	AAO	55-157747	12/8/80	JAPAN w/English Abstract		,		
	AAP	57-30846	2/19/82	JAPAN w/English Abstract				
	AAQ	2-4275	1/9/90	JAPAN w/English Abstract				
10.11	AAR	4-281461	10/7/92	JAPAN w/English Abstract		•		
	AAS	0 655 654	5/31/95	EUROPE	_			
	AAT							
	AAU				_			
	AAV							
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)								
	AW					_		
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			FILING DATE		GROUP				
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U.S. PATENT DOCUMENTS									
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME CLASS		SUB CLASS	FILING DATE IF APPROPRIATE		
	AAAA	6,069,224	5/30/00	ADACHI, et al.					
	AAAB	6,191,249	2/20/01	TANAKA, et al.					
	AAAC	6,432,596	8/13/02	IKUNO, et al.					
	AAAD	6,319,878	11/20/01	INA, et al.					
	AAAE	5,702,855	12//30/97	IKEGAMI, et al.					
	AAAF	5,578,405	11/26/96	IKEGAMI, et al.					
	AAAG	5,339,138	8/16/94	MISHIMA, et al.					
	AAAH	5,496,671	3/5/96	TAMURA, et al.					
	AAAI	5,411,8276	5/2/95	TAMURA, et al.					
	AAAJ	4,942,104	7/17/90	KITAJIMA, et al.					
· · · · · · · · · · · · · · · · · · ·	AAAK	4,740,441	4/26/88	OTOMURA			,		
	AAAL	6,187,494	2/13/01	KAWAMURA, et al.					
	AAAM	6,187,492	2/13/01	RI, et al.					
	AAAN	6,210,848	4/3/01	NAGAI, et al.					
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		DOCUMENT DATE COUNTRY		TRANSLATION YES NO					
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	AAAP	,							
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)									
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	AAAZ		Additional References sheet(s) attached						
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	AAB	6,326,112	12/4/01	TAMURA, et al.			
	ABB	6,444,387	9/3/02	RI, et al.			
	ACB	6,132,911	10/17/00	NIIMI			
	ADB	6,218,533	4/17/01	NIIMI			
	AEB	5,547,790	8/20/96	UMEDA, et al.			
	AFB	5,677,094	10/14/97	UMEDA, et al.	,		
,	AGB	5,804,343	9/8/98	UMEDA, et al.			
	AHB	5,871,876	2/16/99	IKUNO, et al.			
	AIB	6,087,055	7/11/00	NIIMI	,		
	AJB	5,853,935	12/29/98	SUZUKI, et al.			
	AKB	6,118,964	9/00	KOJIMA, et al.			
	ALB	5,948,579	9/99	MASHIMO, et al.			
	AMB	4,663,259	5/87	FUJIMURA, et al.			
,	ANB	4,766,048	8/88	HISAMUA, Masafumi			
	AOB	5,008,172	4/91	ROKUTANZONO, et al.			
	APB	6,300,027	10/01	CHAMBERS, et al.			
	AQB	6,492,081	12/02	MORIKAWA, et al.			
	ARB	2002/0048711	4/02	ITO, et al.			
	ASB	5,679,488	10/97	ITAMI, et al.			
*	ATB	5,932,381	8/99	AKAO, et al.			
	AUB	2002/0011177	1/02	YAMAMORI, et al.			
	AVB	6,077,635	6/00	OKADO, et al.			
	AWB	6,243,552	6/01	MURATA, Jun			
	AXB	5,008,706	4/91	OHMORI, et al.			
	AYB	5,363,176	11/94	ISHIHARA, et al.		]]	
		OTHER RE	EFERENCES (	Including Author, Title, Date, Pertinen	t Pages, e	etc.)	
	AY						
	AZ				Add	itional Refe	rences sheet(s) attached
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